



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6  
SP  
10-20-03

Re the Application of:

Date Allowed: **June 3, 2003**

**INOUE, et al.**

**RECEIVED**

Group Art Unit: 1763

Serial Number: **08/921,250**

**AUG 15 2003**

Examiner: **GOUDREAU**

Filed: **August 29, 1997**

**GROUP 1700**

P.T.O. Confirmation No.: 9314

**FOR: FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE  
LIQUID USED THEREIN**

**REQUEST FOR RETURN OF FORM PTO-1449's**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 14, 2003

Sir:

Information Disclosure Statements were filed on **April 23, 2001 and June 16, 2003**. A copy of each of these Information Disclosure Statements is attached.

It is respectfully requested that the Examiner return a copy of the Forms PTO-1449 which accompanies each of the Information Disclosure Statement, marked to indicate that the references listed therein have been considered by the Office.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

**ARMSTRONG, WESTERMAN & HATTORI, LLP**

Stephen G. Adrian  
Attorney for Applicant  
Reg. No. 32,878

SGA/arf  
Atty. Docket No. **970813**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosure: Information Disclosure Statements filed April 23, 2001 and June 16, 2003  
Date Stamped Post Card (copy)

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AUG 15 2003  
GROUP 1700

CARD NO: 17128

U.S. Patent Application      Docket No: 970813  
Serial No: 08/921,250      Filed: 08/29/97  
Patent Number:      Issued:             
Applicant(s): INOUE, ET AL

Papers filed herewith on: 04/23/01

Fees: \$ 180.00

Other: Information Disclosure Statement w/~~4~~ references;  
Office Action; PTO-1449



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

SGA/ARF

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AUG 15 2003  
GROUP 1700

CARD NO: 02456

U.S. Patent Application      ~~Docket~~ No: 970813  
Serial No: 08/921,250      Filed: 08/29/97  
Patent Number:      Issued:  
Applicant(s): INOUE, et al.

Papers filed herewith on: 06/16/03

Fees: \$ 180.00

Other: IDS; PTO-1449 w/ 5 References



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

SGA/ARF



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yasunori INOUE et al.

**RECEIVED**

Serial No.: 09/921,250

AUG 15 2003

Group Art Unit: 1763

Filed: August 29, 1997

**GROUP 1700**

Examiner: G. Goudreau

For: FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE  
LIQUID USED THEREIN

**INFORMATION DISCLOSURE STATEMENT**  
**CERTIFICATION PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
Washington, D.C. 20231

April 23, 2001

Sir:

Submitted herewith is a copy of an Office Action dated February 23, 2001 which issued in related application serial no. 09/037,674. Also submitted herewith is a copy of each reference cited in that Office Action which has not yet been made of record in the present application.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following filing of the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

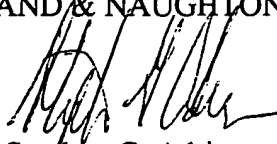
The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$ 180.00 fee set forth in 37 CFR § 1.17(p).

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340. Two copies of this authorization are attached.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,  
MCLELAND & NAUGHTON, LLP



Stephen G. Adrian  
Attorney for Applicants  
Reg. No.32,878

Atty. Docket No. 970813  
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Washington, DC 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357  
SGA/arf

Enclosures: PTO-1449, 4 references and Office Action dated February 23, 2001  
Check \$180.00 fee



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: INOUE, et al.

**RECEIVED**

Group Art Unit: 1763

Serial No.: 08/921,250

AUG 15 2003

Examiner: GOUDREAU

Filed: August 29, 1997

**GROUP 1700**

P.T.O. Confirmation No.: 9314

For. **FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE LIQUID USED THEREIN**

**INFORMATION DISCLOSURE STATEMENT AND STATEMENT  
PURSUANT TO 37 CFR 1.97(d)**

Attn: Group Director

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 16, 2003

Sir:

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

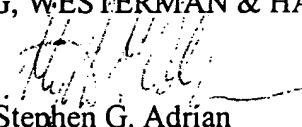
The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement. The references were cited in an Office Action dated May 23, 2003 for U. S. Serial No. 09/320,271.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$180.00 fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

  
Stephen G. Adrian  
Attorney for Applicant  
Reg. No. 32,878

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and references (5)  
\$180.00 fee